2019 Monitoring of State and Federally Funded IDD Services

Better known as: The Block Grant Audit
Findings

• Eligibility criteria not met
  – Missing qualifying evaluations

• *Full* NC SNAPs missing

• Plans missing specific verbiage from service definitions
Findings, cont.

• For Long-Term Vocational Supports
  – Missing explicit language member *verbalized a desire to work and expressed a preference for ongoing supports*
  – Missing evidence member *requires service to maintain employment*
Findings, cont.

• For Day Activity
  – Missing evidence *desired outcome or level of functioning has been restored, improved, or sustained* over the timeframe outlined in the service plan or member *continues to be at risk for relapse based on history or tenuous nature of functional gains*
Findings, cont.

• For Developmental Day
  – Missing IEPs
  – Missing qualifying evaluations by child’s 5th birthday
Findings, cont.

• For Day Supports
  – Missing evidence it can be reasonably anticipated regression is likely to occur if the service is withdrawn
Evaluations for Eligibility
GS 122C-3(12a) & JCB #J325

• Must
  – Show a DSM IDD diagnosis present prior to age 22 (unless TBI) and is likely to continue indefinitely
    • Evaluations without a DSM diagnosis must be supplemented by an eval that has DSM diagnosis and explicitly states condition likely started in childhood and is lifelong
    • Children under 5 can use developmental assessment – Must have psych eval by age 5
  – Shows substantial limitations in 3 or more areas
  – States need for individualized services
Evaluations, cont.

• School Evaluations
  – Must have DSM IDD diagnosis to be considered qualifying evaluation

• Legacy Beneficiaries
  – Actively received *state-funded* IDD services prior to 2012
  – Must have documentation of pre-2012 services
  – Can use older evaluations that do not meet each criteria detail
Authorizations

• All qualifying evaluations should be attached to any requests for initial authorization.
  – For members currently receiving services, qualifying evaluations will be requested at reauth if Sandhills Center does not already have one on record.

• Service plans should be valid on the requested start date of the service.
• For Developmental Day, an IEP should be attached to any requests for initial authorization.
  - For members currently receiving services, IEPs will be requested at reauth if Sandhills Center does not already have one on record.
Authorizations, cont.

• If service plans do not have the language specified in the service definition, UM staff will request an updated version be submitted with the specific verbiage.

• If NC SNAPs (or SIS evals) are missing any pages, UM staff will request the complete document.
Questions